

Att #41

2/14/00  
12/3/99

FORM PTO-1449  <u>INFORMATION DISCLOSURE STATEMENT</u>	ATTY. DOCKET NO. 0609.4370001	APPLICATION NO. 09/380,203
	APPLICANT de la Monte et al.	
	FILING DATE August 26, 1999	GROUP <u>1675</u> <del>To be assigned</del>

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
BW	AA1	5,168,053	12/01/1992	Altman et al.	435	91	08/17/1990
	AB1	5,830,670	11/03/1998	de la Monte et al.	435	7.2	05/30/1995
	AC1	5,948,634	09/07/1999	de la Monte et al.	435	69.1	11/14/1994
BW	AD1	5,948,888	09/07/1999	de la Monte et al.	530	350	05/30/1995

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL1	EP 0 263 740 ✓	04/13/1988	<del>GB</del> Europe			Yes No
BW	AM1	WO 89/03849 ✓	05/05/1989	WIPO			Yes No
BW	AN1	WO 90/06993 ✓	06/28/1990	WIPO			Yes No
BW	AO1	WO 92/07065 ✓	04/30/1992	WIPO			Yes No
BW	AP1	WO 94/23756 ✓	10/27/1994	WIPO			Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
BW	AR	1 ✓	Bloch, D.B., et al., "Identification and Characterization of a Leukocyte-specific Component of the Nuclear Body," <i>J. Biol. Chem.</i> 271:29198-29204 (November 1996)
	AS	1 ✓	Brinster, R.L., et al., "Factors affecting the efficiency of introducing foreign DNA into mice by microinjecting eggs," <i>PNAS USA</i> 82:4438-4442 (July 1985)
BW	AT	1 ✓	de la Monte, S.M., et al., "Enhanced Expression of an Exocrine Pancreatic Protein in Alzheimer's Disease and the Developing Human Brain," <i>J. Clin. Invest.</i> 86:1004-1013 (September 1990)

EXAMINER <u>B. Min &amp; L. Hwang</u>	DATE CONSIDERED <u>11/2/01</u>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	

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U.S. PATENT DOCUMENTS							
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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
BW	AL2	WO 96/15272	05/23/1996	WIPO	<del>_____</del>	<del>_____</del>	Yes No
BW	AM2	WO 96/40895	12/19/1996	WIPO	<del>_____</del>	<del>_____</del>	Yes No
							Yes No
							Yes No
							Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
BW	AR	2 /	de la Monte, S.M., "Regional and Maturation-associated Expression of Endothelin 2 in Rat Gastrointestinal Tract," <i>J. Histochem. Cytochem.</i> 43:203-209 (February 1995)
↓	AS	2 /	de la Monte, S.M., <i>et al.</i> , "Aberrant GAP-43 Gene Expression in Alzheimer's Disease," <i>Am. J. Pathol.</i> 147:934-946 (October 1995)
BW	AT	2 ✓	de la Monte, S.M., and Bloch, K.D., "Aberrant Expression of the Constitutive Endothelial Nitric Oxide Synthase Gene in Alzheimer Disease," <i>Mol. Chem. Neuropathol.</i> 30:139-159 (January/February 1997)

EXAMINER <u>Brian A. Hemo</u>	DATE CONSIDERED <u>9/2/01</u>
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
							Yes
							No
							Yes
							No
							Yes
							No
							Yes
							No
							Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	<u>3</u> ✓	Gossler, A., <i>et al.</i> , "Transgenesis by means of blastocyst-derived embryonic stem cell lines," <i>PNAS USA</i> 83:9065-9069 (December 1986)
	AS	<u>3</u> ✓	Gross, J., <i>et al.</i> , "Isolation, Characterization, and Distribution of an Unusual Pancreatic Human Secretory Protein," <i>J. Clin. Invest.</i> 76:2115-2126 (December 1985)
B2	AT	<u>3</u> ✓	The Huntington's Disease Collaborative Research Group, "A Novel Gene Containing a Trinucleotide Repeat That Is Expanded and Unstable on Huntington's Disease Chromosomes," <i>Cell</i> 72:971-983 (March 1993)

EXAMINER <u>B. M. &amp; H. M.</u>	DATE CONSIDERED <u>7/2/01</u>
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
							Yes
							No
							Yes
							No
							Yes
							No
							Yes
							No
							Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
<i>PM</i>	AR	<u>4</u> ✓	Kosaka, K., "Dementia and Neuropathology in Lewy Body Disease," <i>Adv. Neuro1.</i> 60:456-463 (1993)
<i>↓</i>	AS	<u>4</u> ✓	Ozturk, M., <i>et al.</i> , "Elevated levels of an exocrine pancreatic secretory protein in Alzheimer disease brain," <i>PNAS USA</i> 86:419-423 (January 1989)
<i>BR</i>	AT	<u>4</u> ✓	Quon, D., <i>et al.</i> , "Formation of $\beta$ -amyloid protein deposits in brains of transgenic mice," <i>Nature</i> 352:239-241 (July 1991)

EXAMINER <i>B. M. Williams</i>	DATE CONSIDERED <i>7/1/01</i>
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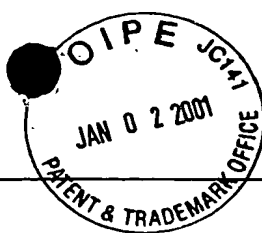
FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 0609.4370001	APPLICATION NO. 09/380,203
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	FILING DATE August 26, 1999	GROUP <u>1635</u> <del>To be assigned</del>

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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
							Yes
							No
							Yes
							No
							Yes
							No
							Yes
							No
							Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
<del>AR</del>	<del>5</del>	<del>✓</del>	<del>International Search Report for International Application No. PCT/US98/03685, mailed July 9, 1998</del> <span style="float: right;">not published</span>
AS	5	✓	Dialog File 351, Accession No. 88-100135/198815, Derwent WPI English language abstract for EP 0 263 740 A (printed December 3, 1999)

EXAMINER <u>B. Min 7. K. H. H.</u>	DATE CONSIDERED <u>7/10/01</u>
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#13

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
0609.4370001/RWE/KKV

APPLICATION NO.  
09/380,203

APPLICANT  
de la Monte et al.

102(e) Date  
April 25, 2000

GROUP  
1649 1635

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1						
	AB1						
	AC1						
	AD1						
	AE1						
BW	AF1	4,873,191	10/10/1989	Wagner et al.	435	172.3	
	AG1						
	AH1						
	AI1						
	AJ1						
	AK1						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL1						Yes No
	AM1						Yes No
	AN1						Yes No
	AO1						Yes No
	AP1						Yes No

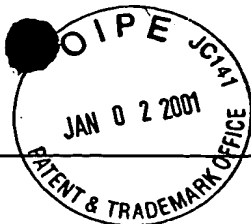
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR		
BW	AS	5	Games, D. et al., "Alzheimer-type neuropathology in transgenic mice overexpressing V717F $\beta$ -amyloid precursor protein," <i>Nature</i> 373: 523-527 (Feb. 1995) (Publisher: Nature Publishing Group)
BW	AT	5	Lannfelt, L. et al., "Alzheimer's disease: molecular genetics and transgenic animal models," <i>Behavioural Brain Research</i> 57(2): 207-213 (1993) (Publisher: Elsevier Science)

EXAMINER *Brian R. Hahn*

DATE CONSIDERED *5/11/02*

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## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
0609.4370001/RWE/KKVAPPLICATION NO.  
09/380,203APPLICANT  
de la Monte *et al.*102(e) Date  
April 25, 2000GROUP  
~~1649~~ 1631

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA2						
	AB2						
	AC2						
	AD2						
	AE2						
	AF2						
	AG2						
	AH2						
	AI2						
	AJ2						
	AK2						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL2						Yes No
	AM2						Yes No
	AN2						Yes No
	AO2						Yes No
	AP2						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

B <sup>h</sup>	AR	6	✓	Marx, J., "Major Setback for Alzheimer's Models," <i>Science</i> 255: 1200-1202 (March 1992) (Publisher: American Association for the Advancement of Science)
	AS	6	✓	Pursel, V.G. <i>et al.</i> , "Genetic Engineering of Livestock," <i>Science</i> 244: 1281-1288 (June 1989) (Publisher: American Association for the Advancement of Science)
Dr	AT	6	✓	Salter, D.W. <i>et al.</i> , "Transgenic Chickens: Insertion of Retroviral Genes into the Chicken Germ Line," <i>Virology</i> 157: 236-240 (1987) (Publisher: Academic Press)

EXAMINER Don J. H. [Signature] DATE CONSIDERED 4/1/02

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